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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector #  
VAFB

In re Patent Application of : Initial Patent Examination  
J.O. Chu et al. : Division  
: Box Missing Parts  
: Serial No.: 09/769,170 : Date: May 4, 2001  
: Filed: January 25, 2001 : Docket No.: YOR920000692US1

For: A Transferable Device-Containing Layer For  
Silicon-On-Insulator

To: Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63  
(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy); and
5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, DC 20231**

on May 4, 2001

Margaret McCabe  
(Print name of person certifying)

Margaret McCabe  
(Signature of person certifying)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR 1.63**  
**FILING DECLARATION AND POWER OF ATTORNEY**  
**FILING ASSIGNMENT**

To: Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

Sir:

On January 25, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the four inventors.

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit

Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed March 6, 2001, is also attached as per your instructions.

Respectfully submitted,  
Attorney for the Applicants

by Robert M. Trepp  
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IBM Thomas J. Watson Research Center  
Intellectual Property Law Department  
P.O. Box 218  
Yorktown Heights, N.Y. 10598

Attorney Docket: YOR920000692US1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/769,170	01/25/2001	Jack Oon Chu	YOR920000692US1

CONFIRMATION NO. 5389

## FORMALITIES LETTER



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IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 03/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice MUST be returned with the reply.*

*Shawn*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/09/2001 ENTRANCE 00000025 090460 09769170

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